



# The Benefits of Working With an Investment Advisor

## What We Deliver as an Investment Advisor

Whether we are helping you plan for your retirement or save for your child's education, YOUR objectives are OUR objectives:

- As an Investment Advisor, it is our fiduciary responsibility to act in your best interest — we are legally bound to put your clients' interests first
- We will take the time to understand you and your specific financial situation
- We will strive to ensure your needs are being met through a personal relationship and committed pursuit of your investment goals
- We will make portfolio recommendations consistent with your objectives

## Personalized, Focused Financial Advice

Our compensation for asset management services is aligned with your goals:

- We charge for our services based on a percentage of your assets managed — it is important to us that your investments continue to grow
- Where a commission may be involved, we will disclose how we are compensated so you can give consent on those financial products

## Should Ask Yourself:

1. What am I looking for — assistance with buying/selling individual securities, investment advice, or both?
2. How do I want to pay my financial professional? Fees? Commissions?
3. Do I want to take a longer-term, holistic approach to management of my investments or make it more transaction orientated?
4. How important is it that my financial professional have a fiduciary responsibility to put my interests first?